

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Charles Cottle

Confirmation: 6166

Serial No.: 10/597,107

Examiner: TBA

Filed: July 11, 2006

For: APPARATUS, METHOD AND SYSTEM FOR A VERSATILE FINANCIAL
MECHANISM AND TRANSACTION GENERATOR AND INTERFACE

RESPONSE TO "NOTICE TO FILE MISSING PARTS"

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In response to the NOTICE TO FILE MISSING PARTS OF APPLICATION--
FILING DATE GRANTED dated March 30, 2007, Applicant(s) submit(s) herewith the
following documents for appropriate action by the U.S. Patent and Trademark Office:

- ☒ Copy of Notice to File Missing Parts
- ☒ Executed Combined Declaration Power and Attorney
- ☐ Application Filing Fees
- ☐ Please charge the required fee of \$50.00 to deposit account no.03-1240, Order No.
- ☐ A check in the amount of \$_____ in payment of the application filing fees is attached.
- ☒ The Commissioner is hereby authorized to charge any additional fees which may be required by this paper, or credit any overpayment to Deposit Account No. 03-1240, Order No. 17952-002US1.

Respectfully submitted,
Chadbourn & Parke, L.L.P.

Dated: April 18, 2007

By: /Walter G. Hanchuk/
Walter G. Hanchuk Reg No. 35,179

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UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/597,107	Charles Cottle	17952-002US1

54205
 CHADBOURNE & PARKE LLP
 30 ROCKEFELER PLAZA
 NEW YORK, NY 10112

INTERNATIONAL APPLICATION NO.	
PCT/US05/01669	
I.A. FILING DATE	PRIORITY DATE

CONFIRMATION NO. 6166

371 FORMALITIES LETTER



OC000000023084479

Date Mailed: 03/30/2007

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Indication of Small Entity Status
- Copy of the International Application filed on 07/11/2006
- Copy of the International Search Report filed on 07/11/2006
- Preliminary Amendments filed on 07/11/2006
- Oath or Declaration filed on 07/11/2006
- U.S. Basic National Fees filed on 07/11/2006
- Priority Documents filed on 07/11/2006
- Specification filed on 07/11/2006
- Claims filed on 07/11/2006
- Abstracts filed on 07/11/2006
- Drawings filed on 07/11/2006

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
 - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
 - does not identify the citizenship of each inventor.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$65 for a Small Entity:

- \$65 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.
<https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html>

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at <http://www.uspto.gov/ebc>.

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

VONDA M WALLACE

Telephone: (703) 308-9140 EXT 225

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/597,107	PCT/US05/01669	17952-002US1

FORM PCT/DO/EO/905 (371 Formalities Notice)

CHADBOURNE & PARKE, LLP	
Issue Date	3/30/07
Due Date	5/30/07
Final Due Date	5/30/07
Docketed By	DLC